

JCTO Rec'd PCT/PTO 20 DEC 2001

BAKER BOTTS LLPTRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35.U.S.C. 371

EXPRESS MAIL LABEL No.

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DATE

12/20/01

ATTORNEY'S DOCKET NO.

A34843-PCT-USA

U.S. APPLICATION NO.

10/030333

INTERNATIONAL APPLICATION NO.
PCT/DE00/02073INTERNATIONAL FILING DATE
June 26, 2000PRIORITY DATE CLAIMED
July 1, 1999TITLE OF INVENTION **METHOD AND DEVICE FOR ROLLING A STRIP OF VARYING THICKNESS**APPLICANT(S) FOR DO/EO/US **Ulrich Lettau, Siegbert Steidl, Wilfried Tautz and Dietrich Wohld**

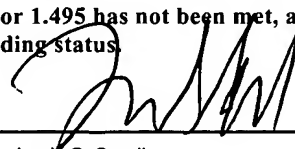
Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210)
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:
 - a. ☒ a copy of the International Search Report (PCT/ISA/210)
 - b. ☒ a copy of the International Preliminary Examination Report (PCT/IPEA/409)

Comparison document; English and German versions of application; cover page of PCT international application PCT/DE00/02073; formal drawings (Figs. 1-3); postcard; German priority document; and check in the amount of \$740.00.

| | | | | | |
|---|---------------------|--|-------------|--|--|
| INTERNATIONAL APPLICATION NO. PCT/DE 00/02073 | | INTERNATIONAL FILING DATE June 26, 2000 | | PRIORITY DATE CLAIMED July 1, 1999 | |
| 17. <input type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) \$1,040 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5)) \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO (1.492(a)(2)) \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 740 | | | | CALCULATIONS <small>PTOUSE ONLY</small> | |
| | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | \$ | |
| Total Claims | 2 -20= | 0 | X \$ 18.00 | \$ 0 | |
| Independent Claims | 2 -3= | 0 | X \$ 84.00 | \$ 0 | |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 740 | |
| Reduction by 1/2 for filing by small entity, if applicable. | | | | \$ | |
| SUBTOTAL = | | | | \$ 740 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | |
| TOTAL NATIONAL FEE = | | | | \$ 740 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | |
| TOTAL FEES ENCLOSED = | | | | \$ 740 | |
| | | | | Amt. refunded \$ | |
| | | | | charged \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 740.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge our Deposit Account No. <u>02-4377</u> in amount of \$ to cover the above fees. A copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4377</u> . A copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Louis S. Sorell BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, New York 10112-4498 | | | | | |
|  Attorney: Louis S. Sorell | | | | PTO Reg: 32,439 | |
| | | | | 12/20/01 Date | |

BAKER BOTTS LLP

Attorney Docket Number: A34843-PCT-USA

Title: METHOD AND DEVICE FOR ROLLING A STRIP OF VARYING THICKNESS

Use Space Below for Additional Information: